

(19) World Intellectual Property Organization
International Bureau



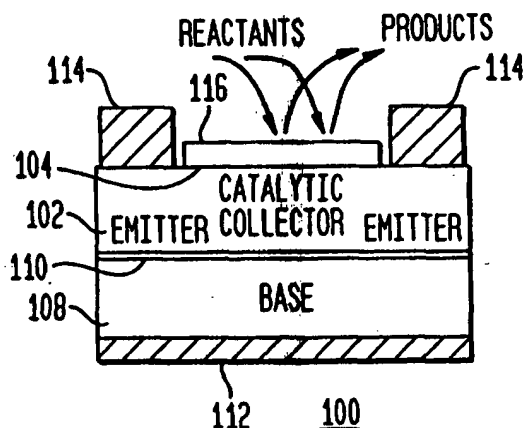
(43) International Publication Date
26 April 2001 (26.04.2001)

PCT

(10) International Publication Number
WO 01/28677 A1

- (51) International Patent Classification⁷: **B01J 19/08**
- (21) International Application Number: **PCT/US00/28801**
- (22) International Filing Date: 18 October 2000 (18.10.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
- | | | |
|------------|------------------------------|----|
| 60/160,531 | 20 October 1999 (20.10.1999) | US |
| 60/177,919 | 25 January 2000 (25.01.2000) | US |
| 60/186,567 | 2 March 2000 (02.03.2000) | US |
| 09/631,463 | 3 August 2000 (03.08.2000) | US |
- (71) Applicant: **NEOKISMET L.L.C.** [US/US]; Suite 1350, 456 Montgomery Street, San Francisco, CA 94104 (US).
- (72) Inventors: **ZUPPERO, Anthony, C.**; 2631 Buena Terra, Pollock Pines, CA 95726-9456 (US). **GIDWANI, Jawahar, M.**; 2335 Leavenworth, San Francisco, CA 94133 (US).
- (74) Agents: **JACOBS, James, D. et al.**; Baker & McKenzie, 805 Third Avenue, New York, NY 10022 (US).
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:**
- *With international search report.*
 - *With amended claims.*
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: **SOLID STATE SURFACE CATALYSIS REACTOR**



(57) **Abstract:** A method and apparatus to stimulate chemical reactions on a catalyst surface (116) using electricity, and the reverse process to convert energy released from chemical reactions into electricity. A reversible emitter (102) generates electrons which are injected into reactants on the catalyst surface (116), exciting chemically reactive vibrational resonances. Hot electrons created in the emitter region of a semiconductor junction (110) diffuse to the co-located collector region and catalyst surface (116). Catalyst clusters or films bonded on the collector surface transfer the hot electrons to or from the catalyst surface (116) having adsorbed reactants. The dimension of the catalyst-collector (104) is less than the energy mean free path of hot electrons. The hot electrons excite reactant vibrations before thermalizing with the substrate lattice thereby accelerating reactions. The hot electrons are also generated by the same reactions on a catalyst surface. The method and apparatus is reversible and may be operated as an electric generator using chemical reactions.

BEST AVAILABLE COPY

SOLID STATE SURFACE CATALYSIS REACTOR

5 TECHNICAL FIELD OF THE INVENTION

The present invention relates generally to an energy generator and more specifically to a method and apparatus to couple the excitation structure of a semiconductor substrate to the excitation structure of reactive adsorbates on the surface of a catalyst.

BACKGROUND OF THE INVENTION

Recent experimental and theoretical developments in surface science showed how hot electrons cause heating of the vibration of molecules or atoms adsorbed on a catalyst surface. The energy of hot electrons is defined as electrons with effective temperatures between 600 Kelvin and 60,000 Kelvin, which means equivalent energies between 0.05 and approximately 5 eV, or many times that of thermal energy at room temperature. 300 degrees Kelvin is 0.026 eV.

It has been discovered that hot electrons that diffuse to a catalyst metal surface interact strongly with the adsorbed surface chemicals, also called adsorbates, and can do so at a rate faster than the process of electrons thermalizing with the lattice of catalyst metal atoms. It has also been recently discovered that the adsorbates acquire vibrational energy when interacting with hot electrons from the catalyst surface. It has been further discovered that adsorbate vibrational energy strongly enhances the rate of chemical reactions, and in some cases enable reactions that do not occur by thermal means because of the activation energies or chemical thermodynamics involved. Hot electrons stimulate adsorbate chemical reactions on a catalyst surface. The reverse of this process has also been observed, where a surface chemical reaction resulted in the production of hot electrons.

The presence of hot electrons on the surface of the catalyst can cause a pseudo-thermal regime in which the surface vibrations of adsorbate molecules, either against themselves or against the catalyst, are in
5 equilibrium with the temperature of the substrate hot electrons rather than with the physical temperature of the substrate itself. This means the vibrations can be at several thousand degrees while the catalyst is at ambient temperature. Hot electrons excite the adsorbate
10 from the bottom of its adsorption well in a stepwise manner, and may even do so until it overcomes the adsorption barrier and hops to a neighboring potential well, reacts or desorbs.

The hot electron energy or frequency need not
15 exactly match that of the adsorbates. The adsorbate excitation structure is generally very broad, being spread over many frequencies, and the mechanism is often via an electronic excited state. That is, when the adsorbate acquires an electron it transitions to an
20 excited electronic state. Within a few tens of femtoseconds it begins to move outward away from the surface, and then releases the electron. The adsorbate now transitions back to a non-electronic excited state. However, it retains the extra energy given to it by the
25 hot electron. As a result, the adsorbate is in a higher vibration state. The tens of femtosecond lifetime for the process causes a broadband resonance feature and hence permits an energy mismatch between hot electron and the receiving adsorbate energy levels. The substrate
30 electron in effect deposits energy into a vibration mode of adsorbate reactant, such as the vibration of the atoms in the adsorbate reactant molecule or in the vibration of the adsorbate against the catalyst surface. This process can repeat itself many times, to the point
35 where the adsorbate desorbs. In the literature this is called "Desorption Induced by (Multiple) Electronic Transitions," Abbreviated DIMET or DIET. This is the stimulator process.

The generator process works in reverse. An adsorbate with energy in one of its vibration modes attracts and acquires a cold electron from the catalyst. This causes a transition where the adsorbate with
5 attached electron then becomes a charged adsorbate specie in an excited electron state. Within femtoseconds this specie in the excited electron state decays and ejects an electron. This leaves the adsorbate reactant with less energy in its vibration
10 mode and the electron with excess kinetic energy. The effect is that the energetically excited reactant on the surface of the catalyst gave a fraction of its energy to an electron in the catalyst. This is the generator process.

15 This generator or reverse process has been observed in laboratories. The detector in this observation used a short circuit Schottky diode to measure an electron flux directly generated by the surface reactions. The laboratory detector measured a current in a short
20 circuit diode, which means the detector generated almost exactly zero power. However, the detector confirmed the existence of the generator mode. Both hot electrons and hot holes were observed, and with energies in excess of the Schottky barrier in silicon, which is of order 0.5
25 eV.

Hot electrons on a catalyst surface have been shown to accelerate reactions. Experiments with vibrationally excited Nitrogen Oxide (NO) molecules interacting with a copper (Cu) surface showed thousand-fold enhancement of
30 surface reactivity. Up to near unit reaction probability was observed. In that work, neither reactant translational energy nor surface temperature had a strong effect on the reaction probability, confirming the efficacy of using hot electrons.

35 In another experiment, carbon monoxide (CO) was oxidized on a ruthenium surface. A 1.5 eV, 110 femtosecond laser pulse duration created the hot electrons. It was observed that sub-picosecond

reactions of adsorbed O with CO to produce CO₂ in a reaction that is energetically not possible at all without the hot electrons. This means if one uses thermal energy alone, CO will desorb without reacting.

5 The efficiency of such hot electrons to impart vibrational energy to just the adsorbates can approach 100%. Nearly 100% desorption of CO from a copper surface was observed. A three order of magnitude increase in reaction rate of NO with Cu was also
10 observed.

 This establishes the strong, two way energy transfer between hot electrons and excited adsorbate specie on a metal catalyst surface. The collection of observations leads to both an apparatus and method to
15 couple the excitation structures of the adsorbate reactants adsorbed, chemisorbed or physisorbed on a catalyst surface to the excitation structure of a semiconductor diode in close proximity to the adsorbates.

20 The semiconductor diode excitation structure is rather simple, consisting of holes in the valence band and electrons in the conduction band. The excitation structure of the chemically reactive adsorbate-catalyst system is dominated by vibrations of the atoms and
25 molecules with themselves and against the substrate, forming energy level bands, and the energy level bands due to electronic excited states of these specie, where the adsorbates may acquire a transient or permanent charge.

30 Coupling of these structures occurs mainly by two paths, either directly through the direct, typically ballistic transport of the hot carriers such as hot electrons or hot holes, between adsorbate and semiconductor, or by resonant tunneling of energy.

35 Resonant tunneling couples the two structures through oscillating electric fields produced by the excitation structures in the semiconductor and adsorbate-catalyst system. The coupling is greatly enhanced when the

frequencies of the excitations on either side are close to each other.

Hot electrons are the easiest excitation to work with. The current method of choice to produce and
5 inject the hot electrons into a metal catalyst surface relies on a pulsed laser. The usual method to produce these hot electrons is to irradiate the surface of the metal with a short laser pulse, typically with pulse
10 duration in the range of 50 to 1000 femtoseconds and with photon energies of 1 eV or greater (0.2 to 1.5 micron wavelength). The photons are adsorbed and produce electrons with energies between 0 eV and up to the photon energy, splitting the energy with a hot hole, and with hot electron energies averaging approximately
15 half the incident photon energy. A laser, however, is one of the most expensive energy sources available.

A theory to use a solid state metal-insulator-metal junctions to produce resonantly coupled, hot electrons has been proposed. The theoretical suggestion would
20 produce resonance-assisted, hot-electron-induced femtochemical processing at surfaces. The energies relative to the catalyst Fermi level and associated with the metal-insulator junctions is higher than what is now known to be appropriate for surface resonances. No
25 experiments using this theory are known at this time. No known mention of process reversibility has been claimed.

The use of a neutral semiconductor substrate as the injection mechanism into thin metal overlayers, with
30 photons derived from a pulsed laser as the creator of hot carriers in the semiconductor, was also suggested in the literature. It was suggested that this could be an order of magnitude more efficient for stimulating gas-surface catalytic reactions than using the metal as the
35 photon acceptor. It was suggested that using a semiconductor substrate, metal overlayer and catalyst device to produce hot electrons more efficiently with photons and inject them into a catalyst surface. A

critical detail needed to render the process efficiency useful was not addressed in a way needed to assure process efficiency. One must tailor the Schottky junction, the ohmic junction or the almost ohmic
5 junction between the semiconductor and the metal so that the coupling of either hot carriers such as hot electrons or holes is electrically efficient, or so that the resonant tunneling is efficient. The proper use of resonant tunneling and resonance-assisted processes can
10 be valuable components in a useful device and method.

A Schottky junction diode has been used in experiments for hot electron injection into solutions. One of the co-authors of that work suggests that they did not achieve the success they wanted because the
15 surface states associated with the electrolyte cooled the electrons. A catalyst electrode Schottky junction made of n-silicon and platinum metal was used to inject electrons into a reactive electrolyte solution. The platinum thickness was varied from less than the mean
20 free path to several times thicker than the mean free path of hot electrons in platinum. They achieved some success, and also suffered severe problems with interactions between hot electrons and electrolyte. Flooding the surface with liquid electrolyte destroys
25 the effectiveness of hot electrons. Metal-oxide junction surface states have been an unsolved problem with this approach, where liquids flood the reactive surface.

It is now known that outer layers, away from the catalyst surface, of multiple layers of adsorbates that
30 accumulate on the metal-liquid interface trap hot electrons as "polaritons" and render them less useful as a source of prompt reaction stimulators or as generators of excitations. The efficacy of a semiconductor substrate under a metal and catalyst reactive surface is
35 a valuable element. A semiconductor diode is a critical element.

Implicit in all the observations is the efficiency of pulsed operation. In the case of a reaction

stimulator, the duration of the pulses generating hot electrons is less than the time associated with electron thermalization with the lattice. In the case of a generator, the sudden burst of chemical reactions causes a flood of hot electrons on the catalyst surface. This in turn causes a flood of electrons in the conduction band of the semiconductor substrate collecting those hot electrons. A sufficiently short burst causes the number of generated electrons to exceed the thermally occurring short circuit electrons, thereby increasing the efficiency of the generation of electricity.

Missing in the public domain are methods to tailor the surface of the catalyst to enhance resonant tunneling, to enhance the activation of selected energy bands, to enhance the probability of desired energy transitions, or to enhance the selected reaction pathways.

SUMMARY OF THE INVENTION

The present invention is directed to a method and apparatus to couple the excitation structure of a semiconductor substrate to the excitation structure of reactive adsorbates on the surface of a catalyst. Preferably, the coupling is reversible. The reversible reactor uses excitations originating in a semiconductor substrate to stimulate chemical reactions by the adsorbate species on the surface of a catalyst, and uses the reverse process to generate excitations in the substrate as the result of reactions. The method and apparatus when operated in the stimulator mode uses electrical or other forms of energy input to the semiconductor substrate to manipulate the reaction path so as to accelerate reactions, to steer the reactions, to manipulate the forms of energy produced by the reaction, and to reduce the temperature needed to stimulate surface catalytic reactions; when operated in the generator mode the apparatus converts excitation energy of the adsorbate-catalyst system into electricity

or other forms of energy in the semiconductor substrate; and when operated in the stimulator-generator mode, may use electricity or other forms of energy to manipulate reactions and at the same time may generate electricity
5 or other forms of energy from the adsorbate-catalyst system chemical reaction energy.

In the present invention, electricity or other forms of energy are used to create and inject excitation energy, such as hot carriers, into adsorbates on a
10 catalyst surface and to stimulate adsorbate-surface catalytic reactions; and, because of the reversible nature of the process, one and the same type of apparatus may also be directed to collecting excitations that result from surface chemical reactions, such as hot
15 carriers in a semiconductor substrate, and converting them into electricity or other forms of energy. In an exemplary embodiment, the present invention uses electronically energized semiconductor diodes in a novel way to stimulate the reactions. For example, in one
20 embodiment, the present invention utilizes a p-n junction as the creator of hot carriers and as the injection mechanism to couple them into thin metal overlayer structures of catalyst material and to adsorbates on the catalyst surface. The same embodiment
25 may use the same p-n junction to collect hot carriers in the semiconductor diode, forward biasing it and hence generating electricity.

The present invention includes a hot carrier emitter, also known as an excitation emitter, in
30 intimate contact with a catalyst ensemble energy collector also known as a catalytic collector. The excitation emitter includes a semiconductor diode.

When the apparatus is operated in the stimulator mode, electrical or other energy input to the
35 semiconductor diode causes it to generate excitations such as an excess of holes or electrons, and the resulting hot carriers and resonantly coupled excitation energy is coupled to and absorbed by the excitation

structure of a catalyst-adsorbate system, thereby stimulating adsorbate chemical reactions.

When the apparatus is operated in the generator mode, excitation energy originating in a catalyst-adsorbate system is coupled into semiconductor band excitations, which can typically cause a forward bias in the semiconductor and generate electricity or other useful forms of energy.

The semiconductor diode includes an emitter, a diode junction and a semiconductor base. The emitter, which is in intimate contact with the catalytic collector, includes a semiconductor when the diode is a p-n junction diode, or the emitter includes a metal when the diode is a Schottky diode. The junction is the region of contact between the emitter and the base. The emitter also includes an electrical contact. When the hot carrier, semiconductor excitation, is chosen to be an electron then the base includes an n-type semiconductor and the emitter includes either p type semiconductor or a metal. When the hot carrier is chosen to be a hole, the base includes p type semiconductor and the emitter includes either n type semiconductor or a metal. The base also includes an electrical contact.

The catalytic collector is placed in intimate contact with the emitter and includes a catalyst, an optional underlayer, and optional reaction accelerator-decelerator materials. Elements of the catalytic collector may be one and the same with elements of the emitter. A surface of the catalyst and of the optional reaction accelerator-decelerator materials comes in intimate contact with the reactant chemicals.

Various regions of a device using this invention may include various and different catalytic collectors, hot carrier emitters and various modes of energy coupling, including ballistic transport and resonant tunneling.

Further features and advantages of the present invention as well as the structure and operation of various embodiments of the present invention are described in detail below with reference to the
5 accompanying drawings. In the drawings, like reference numbers indicate identical or functionally similar elements.

BRIEF DESCRIPTION OF THE DRAWINGS

10 Preferred embodiments of the present invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Figure 1 shows a general schematic cross section of a solid state surface catalysis reactor device of the
15 present invention in one embodiment;

Figure 2 illustrates a cross section of a catalytic collector in one embodiment of the present invention;

Figure 3 shows a cross section of a reaction stimulator device with catalyst clusters forming the
20 catalytic collector;

Figure 4 shows a cross section of a solid state surface catalysis reactor device with a thin electrode forming a substrate for catalyst clusters as part of a catalytic collector and also forming the electrical
25 connection for the hot carrier emitter;

Figure 5 illustrates a cross section of a solid state surface catalysis reactor with reaction accelerator-decelerator materials surrounding or adjacent to catalyst metal;

30 Figure 6 illustrates a cross section of the solid state surface catalysis reactor having a single metal element that is at the same time an electrical connection to the emitter, the underlayer of the catalytic collector and forming the metal element of a
35 Schottky diode; and

Figure 7 shows the electronic energy level diagram for the a solid state surface catalysis reactor,

illustrating the regions from the n+ n type base to the adsorbate.

DETAILED DESCRIPTION OF THE

5 PREFERRED EMBODIMENT OF THE INVENTION

An exemplary embodiment of the present invention uses electrons as the hot carriers and a p-n junction diode as the semiconductor diode. The base is n type semiconductor and the emitter is an p type
10 semiconductor. A forward bias on the p-n junction diode injects minority carrier electrons into the conduction band of the p type emitter where they become minority carriers. The minority carriers diffuse and migrate to the catalytic collector and may also be
15 resonantly coupled into the excitation structure of the adsorbate-catalyst system provided that the distance from the junction to the catalytic collector is less than several times the diffusion length of minority carriers in the p type semiconductor. For example, when
20 InSb, InAs, or some alloy of InGaAsSb is the semiconductor, then the diffusion length can range from approximately 100 nanometers to several microns.

According to this invention, the minority carrier electrons are injected or resonantly coupled into the
25 catalytic collector with an energy in excess of the Fermi level of the catalytic collector. This excess energy is nearly mono-energetic and has a value approximately equal to the forward bias on the diode. When the semiconductor is a p-n junction diode, the
30 minority carrier energy may be within approximately several kT of the semiconductor band gap energy (kT = thermal energy, 0.026 eV). When the semiconductor diode is a Schottky junction the carrier energy may be within approximately several kT of the energy needed to
35 overcome the Schottky barrier. The electrons with forward bias energy, also called hot electrons, may rapidly permeate on to a surface of the catalyst facing and in intimate contact with reactants if, for example,

the distance from the p type semiconductor to the surface in contact with the reactants is less than the several times the energy mean free path of electrons in the catalytic collector.

5 When the catalyst is a metal such as platinum, palladium, rhodium or ruthenium the energy mean free path ranges between 5 and 50 nanometers. When the underlayer is copper or gold the energy mean free path ranges between 50 and 200 nanometers.

10 The flux of hot electrons interacting with the reactant chemicals is approximately that of the diode forward current if the distance from the catalytic collector to the diode junction is within the diffusion length of the emitter semiconductor and energy mean free
15 path lengths of the catalyst and underlayer, as specified herein. Hot electrons interact strongly with adsorbates.

Another aspect of the present invention uses a Schottky diode designed to have a low barrier height,
20 also referred to as a tunneling junction. Such a device is constructed by choosing the doping between the metal and the semiconductor of the Schottky junction to be intermediate between the very high doping used to make an almost ohmic junction, typical for making electrical
25 contacts with the semiconductor, and the medium doping used to make a normal Schottky diode. The doping controls the width of the depletion region and hence the strength of the Schottky barrier. The value of the doping may be chosen between degenerate or high doping
30 and conventional or moderate doping, depending on the application.

When the semiconductor is silicon and the metal is any metal associated with the catalytic collector then the doping may be adjusted to an effective value of
35 order 0.1 eV. High doping in silicon yields effectively 0.0 eV barrier and normal doping yields barriers typically between 0.5 and 1.5 eV barrier. This tunneling junction Schottky diode permits the use of

common semiconductor materials such as silicon. The use of such a diode is appropriate for use in the generator mode where reactions are pulsed.

5 With the methods and apparatus provided in either the p-n junction diode or the Schottky junction embodiment of the present invention, the semiconductor diode injects hot carriers or resonantly couples the carriers and with energy approximately equal to the energy of the diode forward bias voltage into the
10 adsorbates on a surface of the catalytic collector. With the methods and apparatus provided in this invention, the energy of the injected electrons may be chosen by the user so as to steer reactions and to drive reactions in selected modes or pathways, including
15 reaction paths inaccessible to thermal processes.

Another novel aspect of the present invention is the reversible nature of the present invention. For example, the inverse of the stimulation process is the collection of electrons generated by adsorbates reacting
20 on the catalytic collector and the resonant coupling of energy into the semiconductor diode, creating carriers such as conduction band electrons or valence band holes. The catalytic collector acts like a collector of hot electrons generated by adsorbate chemical reaction
25 energy instead of a collector of hot electrons generated by the hot carrier emitter. The catalytic collector couples excitations from the adsorbates to the semiconductor, instead of from the semiconductor to the adsorbates. The hot carrier emitter gets its hot
30 electrons from the catalytic collector instead of from the diode junction. The hot carrier emitter may generate its electrons by resonant coupling of energy from the excitation structure of the adsorbate-catalyst system. The hot electrons go into the diode junction
35 towards the base instead of out of the diode junction from the base. In so doing, the hot electrons maintain a forward bias on the diode, thereby generating electricity. This reversible nature of the present

invention permits the device to generate electricity as a direct result of chemical reactions. This is a generator mode.

5 This same device may operate both in a stimulator and generator mode simultaneously, and thereby generate electricity more efficiently than operation in the generator mode alone. Operated in this way, the stimulator apparatus triggers and stimulates adsorbate reactions by the application of electricity or other
10 forms of energy to the semiconductor diode. This initiates and causes the reactions to complete in a short time, for example, in the order of picoseconds. The burst of reactions result in a high peak power burst of chemical reactions, with concomitant release of
15 electrons. The resulting flood of electrons may then be collected, thereby generating electricity. The resulting electrons may also stimulate more chemical reactions and may initiate a chain reaction analogous to an explosion or detonation. The result is a form of surface
20 explosion. The electrons may then generate electricity much more efficiently in the semiconductor diode. The electric generating efficiency of a diode is a strong function of the peak power, and the stimulator may create a condition where the reactions achieve such high
25 power.

When the device is operated in the stimulator mode, energy may be collected in any manner including by operating the solid state surface catalytic reactor in the generator mode. Other modes of collecting energy
30 include but are not limited to collecting radiations emanating from reactions that have been stimulated, or by collecting heat, or by collecting the reaction products themselves, or by capturing the kinetic energy of the products as they desorb, or by collecting the
35 phonons, or by stimulating and collecting coherent acoustic or optical radiation, or by stimulation of piezoelectric devices.

When the device is operated in the generator mode, stimulation may be achieved in any manner, including by operating the solid state surface catalytic reactor in the generator mode. Other modes of stimulation include
5 but are not limited to stimulation using pulsed laser light, a simple light flash, or the hot carriers generated on other regions of the device by other reactions whose energy outputs may include hot carriers and other catalytic products that stimulate reactions.

10 With the p-n junction semiconductor in the present invention, semiconductors with band gaps starting from approximately 0.05 eV to 5 eV may be used with room temperature heat sink operation, and band gaps less than 0.05 eV may be used when the system is operated at lower
15 than room temperature. This does not preclude using materials with higher bandgaps, such as insulators like CaF_2 with 12 eV bandgap, or any other material with higher bandgap. In particular, the commonly used InSb and InGaAsSb materials have band gaps that may be
20 continuously chosen in the range 0.1 to 1.5 eV by suitable choice of the In / Ga ratio and the As / Sb ratio. The resulting range of band gaps lie precisely in the range of energies associated with hydrocarbon chemical bonds. The InSb material produces 0.18 eV
25 electrons, which is ideal for favoring reaction stimulation Vs desorption, because higher energy electrons may stimulate an undesirable large fraction of desorptions, as opposed to surface reactions.

The p-n junction embodiment of this invention
30 provides a substrate whose energy levels match the excited state energy levels of the adsorbates. This greatly enhances resonant transfers, in either direction, that is, to or from the adsorbate. The metals of the catalytic collector provide a resonant
35 tunneling coupling, for example, via plasmons, between the adsorbate and the semiconductor substrate. The resonant tunneling coupling effectively connects the energy band structure of the substrate to the energy

band structure of the adsorbates. An ohmic or almost ohmic junction between the catalytic collector and the semiconductor effectively pins the Fermi level of the catalytic collector to the valence band of the semiconductor. The conduction band of the semiconductor, being higher than the valence band by an amount equal to the band gap of the semiconductor, then appears above the Fermi level of the catalytic collector by the same amount, namely the band gap energy. Since the bandgap may be chosen from a palate between 0.05 to 5 eV, the bandgap energy may be made to match nearly any energy level of the system having the adsorbate and the catalytic collector. By choosing the semiconductor band gap to match the energy level of an adsorbate on the catalytic collector, one may effectively couple the two together through the well known and commonly used process of resonant tunneling. Resonant tunneling greatly increases the cross section for the transfer of energy.

This is useful in the stimulator mode to steer reactions because selected energy levels of the adsorbate may be resonantly activated by hot carriers coming from the semiconductor. This is useful in the generator mode because excited vibration states of adsorbates may be coupled resonantly to the semiconductor, enhancing energy transfer. This is useful in the stimulator-generator mode because the stimulator can trigger and initiate adsorbate reactions, using a relatively small stimulator energy, and the reactions may then spread in a manner analogous to an explosion or detonation on the surface catalytic collector exposed to reactants, which release hot carriers. The hot carriers may then generate electricity at a rate faster than they loose energy by generating heat.

In one embodiment, hot carrier emitters are fabricated using degeneratively doped or highly doped p-n junctions. In this embodiment, the switching speed

can approach that of the Schottky junction because of the high carrier densities, and also because the high semiconductor doping densities form abrupt junctions, similar to that of a Schottky diode. High switching speed enhances the ability to pulse the stimulator and cause high peak power reactions. Next, the p-n junction may provide lower energy hot carriers, as low as 0.05 eV and certainly below 0.4 eV, and determined by the chosen bandgap. The p-n junction may provide very high energy monochromatic hot carriers, with energies equal to the semiconductor band gap, which exceed 5 eV for known devices. The p-n junction provides a much longer diffusion dimension than that of the Schottky junction, between 200 nanometers and several microns, over which the hot carrier can migrate and interact with surface catalysts, permitting much larger and manufacturable semiconductor devices. Further, highly doped or degeneratively doped semiconductor junctions may be produced with nearly ohmic contacts, mitigating the surface state problems.

Another novel aspect of the present invention is the co-location of both an electrically powered reaction stimulator and its inverse, a reaction driven electric generator. The stimulation causes a high rate of reaction, resulting in high peak power which in turn makes the energy generator more efficient.

In another novel aspect, the present invention allows the device to act as both stimulator and generator. The uses of combined reaction stimulation include: 1) controlling catalytic reactions; 2) monitoring those reactions using the generated electrical signal; 3) accelerating reactions on catalysts that have undesirable slow reaction rates but highly desirable selectivity; 4) causing non-thermal steering of reaction paths; 5) stimulating extremely rapid surface chain reactions to achieve high peak power while maintaining low average power; 6) causing chemical reaction temperatures like that of the hot carriers in

the catalyst, which may be far in excess of the catalyst physical temperature; 7) in the stimulator-generator mode, using one type of stimulator to pulse the device to make electricity and another type to cause a self
5 cleaning of the device, for example to remove unwanted chemical byproduct that may build up and accumulate with use, 8) initiating reaction avalanches such that the chemical reactions create their own hot carriers, forcing hot carriers to diffuse in the reverse
10 direction, and causing the device to be an electric generator.

As described hereinabove, the present invention is directed to various aspects of the methods and devices that stimulate and manipulate chemical reactions using
15 electrical energy input on selected catalyst surfaces, and that produce electrical energy through an inverse process.

For example, the present invention is directed to a method and apparatus for making a device that will
20 generate hot carriers, especially hot electrons, transport them and couple them to reactant adsorbates on a catalyst surface and cause such adsorbates to acquire an effective vibrational temperature in excess of the temperature of the catalytic surface. Vibrational energy
25 and temperature are used interchangeably. Energy is the product of the Boltzman constant and absolute temperature. Such an effective vibrational temperature in turn accelerates the reaction rates on the catalyst. Excited vibrational states of atomic and molecular
30 adsorbates, both against the catalyst surface and internal to the adsorbates, are observed to be orders of magnitude more reactive than adsorbates in ground states. The methods and apparatus of the present invention increase adsorbate vibrational energy or
35 temperature using an electrical stimulus without appreciably increasing the substrate thermal energy or temperature.

In another aspect, the present invention is directed to methods and apparatus for reversing the above-described process, wherein excitation energies, electrons or holes generated by chemical reactions
5 described herein above are converted coupled into a semiconductor substrate and into electricity.

Accordingly, one aspect of the present invention is directed to a reaction stimulator method and device to use electricity to create energetic carriers,
10 particularly hot electrons, in a hot carrier emitter and inject those carriers efficiently into a catalytic collector. Preferably, the catalyst or substrate temperature need not be raised during the reaction stimulation.

15 In another aspect, the present invention is directed to methods and apparatus for a reaction stimulator - generator that efficiently collects energetic carriers generated by reactions on a catalyst surface, particularly hot electrons, and cause them to
20 charge a forward biased diode through an emitter-base junction, thereby generating electricity.

In another aspect, the present invention is directed to a reaction stimulator that injects hot carriers or hot electrons with the range of energies
25 needed to selectively favor desired types of surface chemical reactions. Preferably, the reaction stimulator is simple in design, rugged in construction, and economical to manufacture.

In another aspect, the present invention is
30 directed to a reaction stimulator that is reversible, wherein the diffusion of hot carriers may proceed in either direction, that is, either from a chemical adsorbate reaction to a hot carrier emitter, which generates electricity, or from a hot carrier emitter to
35 a chemical adsorbate, which uses electricity to stimulate reactions.

In yet another aspect, the present invention uses the heat of vaporization of a reactant as a coolant for the operation of the semiconductor junction.

Accordingly, the method to stimulate reactions
5 includes using electrical energy to forward bias a semiconductor diode, wherein an electric potential across the electrical contacts of the semiconductor diode creates hot carriers such as hot electrons that diffuse out of the diode junction and are transported
10 through the catalytic collector to the chemical adsorbate, thereby stimulating the adsorbate to react.

Accordingly, the method to generate electricity includes creating hot carriers in the catalytic collector using chemical adsorbate reaction energy and
15 transporting or coupling the hot carriers into the junction of the semiconductor diode, causing the diode to become forward biased and thereby generating electricity.

The method also includes utilizing a carrier
20 diffusion process that transports the energetic carriers such as hot electrons to and from the diode junction to the catalytic collector.

The method also includes using a catalytic collector either to collect hot carriers provided by the
25 emitter to transport or couple them to a chemical adsorbate on a catalyst surface or to optional reaction accelerator-decelerator materials, or, the reverse process, to collect hot carriers generated by the chemical adsorbate on a catalyst or optional reaction
30 accelerator-decelerator materials and transport or couple them to an emitter.

The method also includes forming metal clusters, layers, atomically uniform monolayers, surface structures, crystalline layers or 1, 2 or 3 dimensional
35 quantum confinement structures such as quantum dots, quantum stadia, quantum corrals and quantum wells from materials comprising the catalytic collector. The method includes using such layers and quantum confinement

structures to tailor the density of electron and hole states of the materials, which in turn cause favorable conditions for the formation of or reaction with hot carriers. Such conditions include depletion of the
5 number of electrons available for the decay of vibrational energies of the adsorbate-substrate system with values of transition energy less than that of the bandgap of the substrate.

This invention includes a surface catalyst reactor
10 with tailored electron density of states and tailored energy decay modes. For example, the electron density of states may be modified by forming ordered, electron-reflective or hole-reflective structures of material on the catalytic collector surface exposed to reactants.

15 The method of this invention includes tailoring the carrier density of states near the Fermi surface of the catalytic collector so as to enhance the probability of forming electron-hole pairs with the desired energy distributions and for enhancing stimulation of resonant
20 tunneling coupling of vibration states of the adsorbate-substrate system. Such tailoring may include forming and tailoring of the hot electron Fabry-Pérot modes of a thin-film electron interferometer.

The electron density of states may also be modified
25 by forming electron interferometer structures using catalyst and other substrate materials to form structures to cause multiple path reflections of electrons including but not limited to steps, channels, stadia, corrals, pyramids, polygons, valleys, walls,
30 periodic reflectors, and chaotic reflectors.

The method also may include using combinations of different catalyst materials and of optional reaction accelerator-decelerator materials as part of the catalytic collector, and of forming such materials in
35 any geometry, including but not limited to pillars, islands, clusters, interdigital and random structures and stripes.

The method also may include choosing catalyst and optimal accelerator-decelerator materials that delay or retard reactions of adsorbates, so that use of the stimulation mode may better control the reaction rates.

- 5 Such materials may be part of the catalyst itself, adjacent to the catalyst, and may be expendables carried by the fuel - oxidizer mixture.

The method also may include choosing catalyst materials with Debye frequency lower than that of the
10 desired hot carrier energy, to enhance the probability that the hot electron will interact with the adsorbate rather than with the phonon vibrations of the catalyst.

The shape of the device may fit contours specified by the user because the basic shape is determined by
15 elements that are only limited in thickness by the dimensions of the semiconductor and in length and breadth by the ability to cut or form parts, which limitations permit component dimensions with less than 10 microns. This permits device contouring to nearly
20 any macroscopic physical shape.

The method also includes using pulsed stimulation. Pulsed operation stimulates the reactions to occur with high peak power and short duration. This permits the device to remain relatively cool during the longer
25 periods of zero stimulation after the reactions have completed and it permits the reactions to occur at a high temperature and high peak power during the relatively short periods of pulsed stimulation. Pulsing allows reactions to occur before thermal processes cause
30 the reactions to occur. Pulsing permits the complete depletion of reactants in a time shorter than they can be replenished by the reactant gas mixture, that is, through gas kinetic means.

The method also includes using an optional
35 underlayer material as part of the catalytic collector. The underlayer may be a metal such as copper, gold, silver or aluminum, and is chosen to be compatible with obtaining the desired properties with the semiconductor

component of the hot carrier emitter. One desired property is an ohmic or almost ohmic junction. The underlayer may be used as an electrical connection in the hot carrier emitter and may also be used as an
5 electrical connection to the catalytic collector. The underlayer may be used as a substrate upon which to fabricate catalyst structures, more underlayers or specified geometries and crystal orientations of materials deposited as part of the catalytic collector,
10 or to tailor the lattice constants of materials deposited on the underlayer.

The method also includes limiting the thickness of the underlayer to less than several energy mean free paths of the hot carrier chosen for the device. For
15 example, any underlayer may be between one monolayer (approximately 0.3 nanometers) and 50 nanometers when the underlayer metal includes but is not limited to platinum, nickel, palladium, rhodium, rhenium, copper, gold, silver or aluminum.

20 The method includes enclosing all or selected components of the device in an optical cavity tuned to an energy associated with the excitation structure of the semiconductor, or of the catalytic collector or of the adsorbates or of some combination of these elements.

25 An apparatus to stimulate reactions or to generate electricity according to the present invention includes a hot carrier emitter and a catalytic collector. The hot carrier emitter belonging to this apparatus includes a semiconductor diode. The semiconductor diode includes
30 a semiconductor base, a diode junction also called an emitter-base junction, and an emitter. The emitter includes a semiconductor or a metal as a diode element. The apparatus may also include a first electrical connection to the emitter and a second electrical
35 connection to the base.

An apparatus to stimulate reactions or to generate electricity according to the present invention may include an optional optical cavity tuned to a desired

energy level transition of either the excitation structure of the semiconductor or of the system including the catalytic collector and chemical adsorbate. Such cavities may include and are not
5 limited to metal and dielectric microcavities, periodic structures that exhibit photonic band gap properties, fabrey-perot cavities, textured mirrors, distributed Bragg reflectors, single and coupled semiconductor microcavities, external cavities with a wavelength
10 filter or a large dispersion, quantum dot vertical cavities, microdisk cavities, quantum dot microdisk cavities, laser waveguides with or without cladding, dielectric slab waveguides, cavities associated electromagnetic surface waves, also called surface
15 plasmons, at a metal-semiconductor interface where no additional confinement layer is needed, chaotic resonators, optical resonators with deformed cross section, resonator designs that incorporate chaotic ray motion, and symmetric resonators with whispering-gallery
20 modes.

In one embodiment, the hot carriers are electrons, the diode is a p-n junction made of InSb with n type base and p type emitter and the catalytic collector is located or co-located in the proximity of the emitter
25 electrical contact. The catalyst ensemble includes a catalyst metal such as any alloy of platinum and palladium and deposited in a surface structure, cluster or quantum confined structure. The configuration or geometry of the catalyst, for example, is such that the
30 distance to the semiconductor from regions of catalyst exposed to adsorbates is predominantly less than 3 times the energy mean free path in platinum, which mean free path is approximately 20 nm. The catalyst metal is in direct contact with the semiconductor of the emitter,
35 which semiconductor is degeneratively doped to form an ohmic or tunneling junction. In this embodiment, the p-n junction is formed a distance from the catalytic collector that is less than 3 times the diffusion

distance for electrons in the conduction band of p type InSb, which diffusion distance may be as little as 200 nanometers. The device may be operated in the stimulator mode, the generator mode or the stimulator-generator mode, and where the hot electrons may be created either by the chemical adsorbate reactions or by electrical energy input to the semiconductor diode.

The reversible solid state surface catalysis excitation transfer reaction apparatus in the present invention couples the excitation band structures of the adsorbate-catalyst system with the excitation band structure of the semiconductor substrate. The apparatus may be designed to operate on gaseous reactants. In the generator mode, the energies of excitations associated with chemical reactions of adsorbates on and with the surface of a catalytic collector are converted into excitations such as hot carriers and electromagnetic fields.

The energies of excitations associated with reactions of adsorbates include excited reactant molecular vibrations molecule-surface vibrations, atom-surface vibrations, adsorption reactions, chemical reactions and excited electronic states. The converted excitations such as hot carriers and electromagnetic fields are transported to the excitation emitter where semiconductor or emitter excitations are created and may be converted into useful forms of energy. The emitter excitations include minority carriers, hot carriers, carrier diffusion, coupling electric fields, excitons, and plasmons in the semiconductor.

Also, in the generator mode, pulses of excitation energies associated with chemical reactions of adsorbates occurring on and with the surface of a catalytic collector, such as excited reactant molecular vibrations, molecule-surface vibrations, atom-surface vibrations, adsorption reactions, chemical reactions and excited electronic states, may be converted into excitations such as hot carriers and electromagnetic

fields. These excitations are transported to an emitter or the excitation emitter where excitations such as minority carriers, hot carriers, carrier diffusion, coupling electric fields, excitons, and plasmons in the semiconductor are created and may be converted into useful forms of energy.

In one embodiment, the excitation emitter and the catalytic collector may share a component common to both of them.

Figure 1 illustrates a general schematic cross section of a solid state surface catalysis reactor device. The device 100 comprises an emitter 102 and a catalytic collector 104, formed on a base 108. A semiconductor p-n junction 110 is formed between the emitter 102 and the base 108. An emitter electrical connection 114 and catalytic collector 104 are arranged as shown in Figure 1. A base electrical connection 112 is also arranged in contact with the base 108 as shown in Figure 1. Reactants and products interact on the catalyst surface 116 of the catalytic collector 104. The reactants may include but are not limited to the hydrocarbon chains, ethane, ethylene, propane, propylene, propene, butane, butene, cetane, isomers thereof.

In the stimulation mode, the device 100 utilizes electrical energy to create energetic carriers, also referred to as hot carriers or hot electrons. The hot carriers diffuse into the catalytic collector 104, interact strongly with reactants on the catalyst surface 116 and accelerate the reactions to produce reaction products. The stimulated reactions may cause a chain reaction or the equivalent of a surface explosions. The stimulated reactions may also cause an autocatalyzed chain reaction.

In the generation mode, the hot electrons, generated by chemical reactions occurring on the catalyst surface 116 and diffusing across the junction

110, for example, a p-n junction, cause a forward bias across the junction and generate electrical energy.

In an exemplary embodiment, a p-n junction is used with p type emitter and n-type base to create hot
5 electrons. Accordingly, the junction 110 may be forward biased. When the hot carrier is a hot electron, as opposed to a hot hole, the junction may be a p-n junction with p type emitter and n-type base. Alternatively, the junction may be a Schottky junction
10 with a metal emitter and n-type semiconductor base.

In the stimulation mode, the forward biased junction 110 creates hot electrons. For example, when the base contact 112 is biased negative and the emitter contact 114 is biased positive, hot electrons are
15 created in the junction 110. The hot electrons diffuse through the emitter 102 and ballistically transport through the catalytic collector 104 to the catalyst surface 116.

In the generator mode, hot electrons originating on
20 the catalyst surface 116 may also ballistically transport through the catalytic collector 104 and diffuse to the junction 110, causing the emitter-base junction diode 110 to become forward biased.

For example, when hot electrons transport and
25 diffuse from the catalyst surface 116 to the junction 110, the base contact 112 becomes biased negative and the emitter contact 114 becomes biased positive, and the diode in the present invention becomes an electron source instead of a sink.

30 These hot electrons migrate or diffuse to or from the emitter 102, and to or from the catalyst surface 116. Accordingly, in an exemplary embodiment, the distance from the diode junction 110 to the adsorbates on the surface of the catalyst 116 is formed to be less
35 than the distance over which the energy of these carriers degrades. This distance is generally less than several times the energy mean free path of such energetic hot electrons when evaluated over the path

from the emitter-base junction 110 to the adsorbates on the catalyst surface 116.

Using the process described herein above, reactants adsorbing on the catalyst surfaces become vibrationally excited by the hot electrons, which excitation accelerates the reaction and forms products. Using these means, hot electrons created by the reactants adsorbed on the collector catalyst ensemble create a potential in the forward biased diode.

In an exemplary embodiment of the present invention, the catalytic collector 104 includes catalyst materials in layers, clusters, atomically uniform monolayers, or surface structures. Preferably, the layers or clusters have thickness dimension less than several times the total energy mean free path of hot electrons in the catalyst. The layers or clusters are formed close enough to the diode junction 110 such that hot electrons may diffuse directly between the junction 110 and the catalyst surface 116.

The total energy mean free path of hot electrons in catalysts such as platinum or palladium is of order 20 nanometers and is far shorter than in Au, Ag or Cu. Therefore, according to an exemplary embodiment, catalyst clusters or layers are fabricated with cluster, layer thickness or thickness dimension less than this smaller value. For example, the electron energy lifetime has been measured in Tantalum, a representative transition metal electronically similar to the platinum group, and is of order 15 fs. The calculated lifetime in palladium based on the Fermi inverse square scaling would be 600 fs at 0.3 eV and giving a total energy mean free path of 840 nanometers. Instead of this optimistic large value, it is presumed the lifetime is as poor as that measured in tantalum. This gives a total energy mean free path in platinum or palladium of order 21 nanometers. In this embodiment, the catalyst dimension is less than the measured energy mean free path of the hot electrons. These arguments concerning the total

thickness of an underlayer, which is the electrical connection to the catalyst, and the catalyst, are only to assert that the path taken by the hot carrier through such catalyst and underlayer shall not be so long as to
5 significantly degrade the hot carrier energy, and any dimension satisfying this condition is acceptable.

Preferably, the methods provided in the present invention generate electrons that have energies in the range that favor reaction over desorption. These
10 energies are in the range 0.05 to 0.4 eV. Similarly, the method to collect electrons generated by chemical reactions on the catalyst surfaces collect electrons whose energies are also in the range of 0.05 to 0.4 eV. Accordingly, a semiconductor material with band gap less
15 than approximately 0.4 eV may be used. Examples of such semiconductor material include indium antimonide (InSb) or indium arsenide (InAs) which have band gaps of 0.18 eV and 0.35 eV, respectively. The energetic electrons produced with these semiconductors have energy
20 approximately equal to the band gap in the p type semiconductor emitter. Hot electrons diffusing back into the n-type base generate electric potentials whose magnitude approaches the band gap energy. Generally, the value of the band gap is selected based on the
25 nature of the reactants and the energies associated with their surface activity.

In an exemplary embodiment, the catalyst clusters may further include activators, de-activators, decelerator or accelerators placed in their proximity,
30 such as oxides or other materials, as shown in cross section in Figure 2. Figure 2 illustrates a cross section 200 of a catalytic collector including reaction accelerator-decelerator materials 206 adjacent to and co-located with the catalyst materials 202. As shown,
35 the hot electron catalytic collector includes the catalyst materials 202, an optional thin electrode underlayer 204, and reaction accelerator-decelerator materials 206 such as oxides. For example, oxides of

the catalyst itself, of cerium, titanium or aluminum may be formed between the catalyst islands or layers. The total dimension of the catalyst and thin electrode underlayer 204 is preferably less than several times the
5 total energy mean free path of a hot electron.

Figures 3, 4, and 5 illustrate several different embodiments of the catalytic collector used in a solid state surface catalysis reactor of the present invention. As shown in the Figures, the catalytic
10 collector may include catalyst material such as islands that reside directly on the semiconductor (Figure 3), or of catalysts on a thin electrode underlayer (Figure 4) which also forms the electrical connection for the hot carrier emitter, or catalysts with reaction accelerator-decelerator materials surrounding or adjacent to the
15 catalysts, all residing on a thin electrode underlayer which also forms the electrical connection for the hot carrier emitter (Figure 5).

The catalyst may include materials such as Au, Ag, Pt, Pd, Cu, In, Fe, Ni, Sn, and Mo. The catalyst may be
20 formed into structures including metal clusters, pillars, islands, layers, crystalline layers, atomically uniform monolayers, interdigital and random structures, stripes, or surface structures. The catalyst may also
25 be formed into one, two, or three dimensional quantum confinement structures such as quantum dots, quantum stadia, quantum corrals and quantum wells.

Figure 3 shows a cross section 300 of the solid state surface catalysis reactor device comprising a hot
30 carrier emitter where the carrier is an electron, a catalytic collector. The catalytic collector ensemble includes catalyst islands 302, preferably formed such that the distance to the semiconductor 304 less than the three times the total energy mean free path of the hot
35 electron in the catalyst 302. Preferably, the catalyst islands 302 are bonded to the p doped or heavily p doped, p+ region of the semiconductor 304. In one embodiment, the catalyst materials 302 are spread over

the surface of the semiconductor. In another embodiment, the catalyst is formed with surface structures containing atomically uniform monolayers.

The hot, for example, electron, carrier emitter
5 includes the semiconductor diode formed by negative electrode 306 in contact with n type semiconductor 308, p type semiconductor 312, p-n junction 310 formed between the n type semiconductor 308 and the p type semiconductor 312, p doped or heavily p doped p+
10 semiconductor 304, and positive electrode 314.

Figure 4 illustrates a cross section 400 of a solid state surface catalysis reactor device with thin electrode 402 forming a substrate for catalyst structures. In this embodiment, the catalytic collector
15 includes a thin electrode underlayer 402, catalyst structures 404 and a bus bar electrical connection 406 in electrical contact with the thin electrode underlayer 402. The hot electron emitter includes a semiconductor diode formed by negative electrical connection 408, n-
20 type semiconductor 410, p-n junction 412, p type semiconductor 414, p doped or heavily p doped p+ semiconductor 416, and thin electrode underlayer 402. As shown, the thin electrode underlayer 402 may be common to the hot electron emitter and the catalytic
25 collector. The thin electrode underlayer 402 may be a thin positive electrode. The thin electrode underlayer 402 is preferably selected from those materials that make an ohmic or almost ohmic junction to the semiconductor.

30 The thin electrode underlayer 402 provided in the present invention forms ohmic or almost ohmic junctions to the semiconductor 416 while also providing a path for hot electrons to enter or leave the catalyst 404. With some selection of combinations of catalyst metal and
35 semiconductor, the ohmic properties of the junction between the semiconductor 416 and catalyst 404 may form a Schottky junction instead. In cases where a Schottky junction is formed, a layer of metal, i.e., the thin

electrode underlayer 402 is used as the means to form a practically ohmic junction, which may be almost ohmic or a tunneling Schottky junction. The catalyst clusters or layers 404 are then placed on top of the thin electrode underlayer metal 402. In an exemplary embodiment, the thickness of the electrode underlayer 402 is selected to be much less than the energy mean free path of the hot electrons passing through it.

For 0.3 eV electrons in commonly used contact metals such as silver ("Ag"), gold ("Au"), and copper ("Cu"), the electron energy lifetime exceeds 200 femtoseconds and the electron velocity is of order 1.4×10^6 meters/second. The resulting energy mean free path is therefore of order 280 nanometers. This permits the underlayer electrical contact to the semiconductor to be an order of magnitude thicker than the catalytic collector and enhances manufacturability. Thin, 1 to 5 nanometer ("nm") layers of Au, Ag and Cu conductors are routinely fabricated on semiconductors, permitting a thin layer to form the ohmic or almost ohmic contact with semiconductor. This thin layer, e.g., the thin electrode 402, assures that the Fermi level of the catalyst and the Fermi level of the p type semiconductor emitter are the same or practically the same. In this embodiment, a thin, 1 to 20 nm layer of metal such as Au, Ag or Cu may be used as the electrode 402 or substrate for the catalyst ensemble. It should further be appreciated that the present invention does not limit the choice of contact metal used to form the electrode to Au, Ag or Cu, and other metals, alloys or semi-metals may be selected to form at least a nearly ohmic junction with the semiconductor.

For the embodiment in which the hot electron emitter includes a heavily doped semiconductor, for example as shown in 304 of Figure 3 and in 416 of Figure 4, the material used for the thin electrode 402 may be selected so that the junction between the electrode 402 and the heavily doped semiconductor 416 forms at least

an almost ohmic junction. Preferably, the junction formed is an ohmic junction. To form an ohmic junction or an almost ohmic junction, the semiconductor doping is selected sufficiently high so that the dimension or
5 thickness of any Schottky barrier formed by this junction is sufficiently small that electron tunneling dominates the current flow. Accordingly, the p type semiconductor may be heavily or degeneratively doped near the region of contact with the metal. Such heavy
10 doping occurs when the dopant concentration exceeds approximately 1×10^{18} per cubic centimeter. Figure 3 shows this heavily doped region 304 near both the emitter electrical connection 314 and catalyst clusters 302. Figure 4 shows this heavily doped region 416 in contact
15 with the thin electrode underlayer 402.

As an example, a preferred doping of 2×10^{19} per cc donors in InSb or InAs is considered to be such a heavy doping. Degenerative doping of the semiconductor to 2×10^{20} per cc and bonding a suitable metal, such as Au,
20 Ag, or Cu, as the thin metal contact can make an almost ohmic electrical connection to the semiconductor. Nearly any metal may form such an almost ohmic junction because the junction dimension under heavy or degenerative doping is of order 1 nanometer or less, and
25 at this dimension tunneling across the junction is predominating. A junction of this type typically has characteristic p-n junction dimension of order 3 nanometers or less and electron diffusion length in the emitter and collector regions in excess of 1 micron.
30 The dimension may be limited by Auger recombination. Therefore, the junction between the emitter and the catalytic collector elements of the present invention can be readily constructed since .1 micron thickness and greater dimension is routinely achieved in practice.

35 The thin electrode is bonded to the p type semiconductor surface. The catalyst clusters or layers are placed on the thin electrode and preferably near to the p-n junction. "Near" is defined to be "a distance

that is within the diffusion dimension of minority carriers in the emitter semiconductor." This dimension is typically of order 0.1 micron or more. The calculated diffusion length of electrons in p type InSb doped to 2×10^{20} per cc is of order 7 microns and 5.5 microns in InAs. However, observed Auger lifetimes of 1 picosecond suggest the diffusion length is of order 1 micron. As will be appreciated by those skilled in the art, this dimension is well within current manufacturing state of the art. Accordingly, the catalyst metal 302 and 404 or the thin metal contact underlayer 402 may serve as both the catalytic collector and an emitter positive electrical connection. This also reduces the cost and complexity of fabrication.

Figure 5 shows a cross section 500 of a solid state surface catalysis reactor device similar to that illustrated in Figure 4 and with reaction accelerator-decelerator materials 502 surrounding or adjacent to catalyst structures 404. As described with reference to Figure 2, in an exemplary embodiment, the catalyst clusters may further include chemical surface reaction activators, accelerators or decelerators placed in their proximity, such as oxides or other materials. As shown, the catalytic collector includes the catalyst structures 404, an optional thin electrode underlayer 402, and catalyst accelerators or decelerators 502 such as oxides. For example, oxides of the catalyst itself, or oxides of cerium, titanium or aluminum may be formed between the catalyst islands or layers. The distance a hot electron must travel through the catalyst 404 and thin electrode underlayer 402 is preferably less than several times the total energy mean free path.

Figure 6 shows a cross section 600 of the solid state surface catalysis reactor device including a single metal element 605 that is at the same time an electrical connection to the emitter, the underlayer of the catalytic collector and forms the metal element of a Schottky diode.

Shown in Figure 6 is a solid state surface catalysis reactor device using a Schottky diode. Reactants adsorb on the catalytic collector 605, 606 and 607. A Schottky diode is formed between the thin metal underlayer 605, the more heavily doped semiconductor 604 shown as n type for illustration appropriate for the hot carrier being hot electrons, the lesser doped semiconductor region 601, and the thicker negative electrical connection 606. Bus bar 602 provides the electrical connection for the current-carrying, positive, thin electrode 605. In operation, the diode is pulsed with a forward bias, that is, electrode 606 is pulsed negative with respect to positive electrode 605, consuming electric power. This triggers surface reactions on the catalyst ensemble 607 and causes products to be formed. Excess reaction energy may produce a burst of hot electrons which travel through the thin catalyst structure 607 and element 605, surpass the Schottky barrier potential and enter the diode regions 601 and 604, forward biasing the diode and producing electric power.

The reversible nature of this embodiment is shown for illustration. The reaction stimulation properties of the same device may be its principle function. The electrical generation properties may be the principle function.

Figure 7 illustrates the electronic energy levels diagram 700 of the elements of the solid state surface catalysis reactor device appropriate for the case where the hot carrier is a hot electron. These elements include an adsorbate 702, catalyst 704, positive electrode or electrical connection 718, electrode junction, highly doped semiconductor in the collector-emitter region 706, p doped semiconductor region 708, p-n junction region 710, n doped 712 and the heavily n doped 714 region.

In the stimulation mode, a forward bias 716 drives electrons from the n+ region 714 where they are majority

carriers, into the p-n junction 710, into the p type region 708 of the semiconductor where they are minority carriers, into the catalyst 704, and then to the catalyst surface where they interact with the adsorbate
5 706. The hot electron excites states in the adsorbate which stimulate reactions.

In the generation mode, an energy decay path in the excited state of the adsorbate creates hot electrons on the catalyst surface; the hot electrons then travel
10 through the catalyst to the p type semiconductor region and then continue to the p-n junction 710 where the internal electric fields in the semiconductor sweep them over to the n+ region 714, creating a forward bias, which becomes a source of electric power.

15 In this and all other embodiments, the effectiveness of the stimulator, generator and reactor may be greatly enhanced by providing reactants in the gas phase. In this case, the adsorbates on the catalyst surface interact with the hot carriers. When a liquid
20 covers the catalyst, multiple layers of adsorbate absorb the hot electron and diminish its effectiveness. Thus, a point of novelty of this invention also include the use of forward biased devices for the purpose of reaction stimulation or electric generation.

25 While the invention has been particularly shown and described with respect to a preferred embodiment thereof, it will be understood by those skilled in the art that the foregoing and other changes in form and details may be made therein without departing from the spirit and scope of the invention. For example, those
30 skilled in the art will appreciate that the features of the invention may sometimes be used to advantage without a corresponding use of the other features shown or described herein above. Similarly, some features may be
35 combined, within the scope and equivalents of the present invention, to achieve a desired result.

CLAIMS

We claim:

1. A solid state surface catalysis excitation
5 transfer reaction apparatus comprising:
a catalytic collector; and
an excitation emitter including a p-n junction
diode whose excitation band structure is coupled to
excitation band structure associated with the catalytic
10 collector,
wherein one or more energies associated with
reactions of adsorbates occurring in the catalytic
collector are converted into excitations and transported
to the excitation emitter where emitter excitations are
15 generated and converted into one or more forms of
energy.
2. The apparatus as claimed in claim 1, wherein
the reactions of adsorbates occur on a surface of the
20 catalytic collector.
3. The apparatus as claimed in claim 1, wherein
the reactions of adsorbates occur with a surface of the
catalytic collector.
25
4. The apparatus as claimed in claim 1, wherein
the one or more energies include one or more excited
reactant molecular vibrations.
- 30 5. The apparatus as claimed in claim 1, wherein
the one or more energies include one or more molecule-
surface vibrations.
6. The apparatus as claimed in claim 1, wherein
35 the one or more energies include one or more atom-
surface vibrations.

7. The apparatus as claimed in claim 1, wherein the one or more energies include one or more adsorption reactions.

5 8. The apparatus as claimed in claim 1, wherein the one or more energies include one or more chemical reactions.

9. The apparatus as claimed in claim 1, wherein
10 the one or more energies include one or more excited electronic states.

10. The apparatus as claimed in claim 1, wherein the excitations include one or more hot carriers.

15 11. The apparatus as claimed in claim 1, wherein the excitations include one or more electromagnetic fields.

20 12. The apparatus as claimed in claim 1, wherein the emitter excitations include one or more minority carriers.

25 13. The apparatus as claimed in claim 1, wherein the emitter excitations include one or more hot carriers.

14. The apparatus as claimed in claim 1, wherein the emitter excitations include energies transported by
30 carrier diffusion.

15. The apparatus as claimed in claim 1, wherein the emitter excitations include coupling electric fields.

35 16. The apparatus as claimed in claim 1, wherein the emitter excitations include one or more excitons.

17. The apparatus as claimed in claim 1, wherein the emitter excitations include one or more plasmons.

18. The solid state surface catalysis excitation
5 transfer reaction apparatus as claimed in claim 1,
wherein the catalytic collector is in contact with the emitter.

19. The apparatus as claimed in claim 1, wherein
10 the p-n junction diode is forward biased.

20. The apparatus as claimed in claim 1, wherein the emitter excitations are created when the p-n junction becomes forward biased.

15

21. The apparatus as claimed in claim 20, wherein an electric potential applied across the p-n junction diode causes a forward bias in the p-n junction diode.

22. The apparatus as claimed in claim 20, wherein
20 optical absorption of photons in the p-n junction diode causes the forward bias in the p-n junction diode.

23. The apparatus as claimed in claim 10, wherein
25 the one or more hot carriers include one or more hot electron.

24. The apparatus as claimed in claim 1, wherein the p-n junction diode includes a p-type emitter region
30 and an n-type base.

25. The apparatus as claimed in claim 1, wherein the p-n junction diode includes a highly doped p+ region.

35

26. The apparatus as claimed in claim 24, wherein the p-type emitter region is highly doped.

27. The apparatus as claimed in claim 1, wherein the p-n junction diode includes a highly doped n+ region.

5 28. The apparatus as claimed in claim 24, wherein the n-type base is highly doped.

29. The apparatus as claimed in claim 1, wherein the excitation emitter is fabricated from semiconductor
10 material that includes any one or combination selected from In, Ga, As and Sb.

30. The apparatus as claimed in claim 1, wherein the excitation emitter is fabricated from semiconductor
15 material that includes any one or combination selected from In, Sb, Bi, and Tl.

31. The apparatus as claimed in claim 1, wherein the excitation emitter is fabricated from semiconductor
20 material that includes any one or combination selected from Hg, Cd, and Te.

32. The apparatus as claimed in claim 31, wherein a concentration of cadmium (Cd) is between 20% and 30%.
25

33. The apparatus as claimed in claim 1, wherein a distance from a p-n junction in the p-n junction diode to the catalytic collector is less than three times a diffusion length of minority carriers in the p-n
30 junction diode.

34. The apparatus as claimed in claim 1, wherein the catalytic collector further includes:

a catalyst,

35 wherein total path traveled by energetic carriers between a catalyst surface exposed to adsorbate reactants and a semiconductor of the excitation emitter

is less than three times the total energy mean free path of the energetic carriers along the path.

35. The apparatus as claimed in claim 1, wherein
5 ballistic carrier transport is used to transport energetic carriers in the catalytic collector and the excitation emitter.

36. The apparatus as claimed in claim 1, wherein
10 the apparatus further includes an ohmic electrical connection connecting the catalytic collector and the excitation emitter.

37. The apparatus as claimed in claim 1, wherein
15 the apparatus further includes a tunneling Schottky junction connecting the catalytic collector and the emitter.

38. The apparatus as claimed in claim 34, wherein
20 the catalyst includes one or more catalyst clusters.

39. The apparatus as claimed in claim 38, wherein
the catalyst further includes one or more reaction
accelerator-decelerator surrounding the one or more
25 catalyst clusters.

40. The apparatus as claimed in claim 39, wherein
the one or more reaction accelerator-decelerator include
an oxide.
30

41. The apparatus as claimed in claim 40, wherein
the oxide includes one selected from titanium, cerium,
rare earth metals, tin, lead, and aluminum.

42. The apparatus as claimed in claim 40, wherein
35 the oxide includes material of the catalyst.

43. The apparatus as claimed in claim 38, wherein the catalyst further includes one or more reaction accelerator-decelerator adjacent to the one or more catalyst clusters.

5

44. The apparatus as claimed in claim 38, wherein the catalyst further includes one or more reaction accelerator-decelerator in contact with the one or more catalyst clusters.

10

45. The apparatus as claimed in claim 34, wherein the catalyst has a Debye frequency less than the vibration decay frequency of the dominant mode of energy relaxation of at least one of adsorbate reactants.

15

46. The apparatus as claimed in claim 1, wherein the catalytic collector includes a material having Debye temperature less than 500 degrees Kelvin.

20

47. The apparatus as claimed in claim 34, wherein the catalyst includes material selected from any one of Au, Ag, Pt, Pd, Cu, In, Fe, Ni, An, and Mo.

48. The apparatus as claimed in claim 34, wherein the catalyst is formed into metal clusters.

49. The apparatus as claimed in claim 34, wherein the catalyst is formed into a quantum confinement structure.

30

50. The apparatus as claimed in claim 1, wherein the catalytic collector further includes at least one electrode underlayer metal formed between the excitation emitter and a catalyst in the catalytic collector.

35

51. The apparatus as claimed in claim 50, wherein the electrode underlayer metal forms a substrate for one or more catalysts in the catalytic collector.

52. The apparatus as claimed in claim 50, wherein the electrode underlayer metal forms a substrate for one or more reaction accelerator-decelerator in the catalytic collector.

53. The apparatus as claimed in claim 50, wherein the electrode underlayer metal has a thickness less than three times energy mean free path of the excitations going through it.

54. The apparatus as claimed in claim 50, wherein an ohmic junction is formed between the electrode underlayer metal and the excitation emitter.

55. The apparatus as claimed in claim 50, wherein a tunneling Schottky junction is formed between the electrode underlayer metal and the excitation emitter.

56. The apparatus as claimed in claim 50, wherein an almost ohmic junction is formed between the electrode underlayer metal and the excitation emitter.

57. The apparatus as claimed in claim 1, wherein the apparatus further includes an optical cavity coupled to a region of adsorbate reactions.

58. The apparatus as claimed in claim 57, wherein the optical cavity is tuned to a selected energy level transition in the excitation band structure of at least one of the excitation emitter, the catalytic collector, and the adsorbate.

59. The apparatus as claimed in claim 57, wherein the optical cavity includes dielectric micro cavities.

60. The apparatus as claimed in claim 57, wherein the optical cavity stimulates emission of radiation.

61. The apparatus as claimed in claim 57, wherein the optical cavity stimulates energy transitions of the excitation band structure of the excitation emitter.

5

62. The apparatus as claimed in claim 1, wherein the one or more forms of energy include pulsed energy.

63. The apparatus as claimed in claim 62, wherein
10 the one or more forms of energy include pulsed electrical energy.

64. The apparatus as claimed in claim 62, wherein
15 the one or more forms of energy include pulsed optical energy.

65. A method of converting adsorbate reaction energy into power, comprising:

coupling one or more excitation band structures of
20 an adsorbate-catalyst into one or more excitation band structures of an excitation emitter;

optimizing the coupling to a diode in the excitation emitter; and

converting one or more excitations in the diode to
25 power.

66. The method of claim 65, wherein the coupling includes forming a catalytic collector in the adsorbate-catalyst with one or more quantum confinement surface
30 structures.

67. The method of claim 65, wherein the coupling includes tuning one or more optical cavities to a frequency of the one or more excitation band structures
35 of at least one of the adsorbate-catalyst and the excitation emitter.

68. The method of claim 65, wherein the coupling includes forming a catalytic collector in the adsorbate-catalyst and the optimizing includes constraining the thickness of a region between a surface of the catalytic collector exposed to adsorbate reactants and the excitation emitter, the region having a thickness of less than three energy mean free paths of hot carriers exchanged between the catalytic collector and the excitation emitter.

69. The method of claim 65, wherein the optimizing includes selecting a substrate with band gap energy less than or equal to a selected excitation in the adsorbate-catalyst.

70. The method of claim 65, wherein the optimizing includes adjusting a forward bias of the diode such that a band of excitation energy in the excitation emitter matches a band of excitation energies in the adsorbate-catalyst.

71. The method of claim 65, wherein the coupling includes selecting a catalyst with Debye frequency lower than a selected energy level of the one or more excitation structures of the adsorbate-catalyst system.

72. A method of converting reaction energy into power, comprising:

converting one or more adsorbate reactants into hot carriers;

keeping the hot carriers hot while the hot carriers are transported to a diode;

converting the hot carriers into a forward bias in the diode.

73. The method of claim 72, wherein the method further includes:

converting the hot carriers into minority carriers;

conveying the minority carriers to a p-n junction region of the diode; and
generating a forward bias to generate power.

5 74. The method of claim 73, wherein the power includes electricity.

75. The method of claim 72, wherein the method further includes:

10 forming a population inversion by the hot carriers in the diode; and
extracting optical energy.

76. The method of claim 75, wherein the extracting
15 includes extracting laser action.

77. The method of claim 75, wherein the extracting includes extracting super-radiant emissions.

20 78. The method of claim 72, wherein the method further includes:

modifying one or more electron density of states of a material in a catalytic collector to match a selected range of energy transitions of one or more excitation
25 band structures of an adsorbate-catalyst system having the adsorbate reactants.

79. The method of claim 78, wherein the modifying includes forming one or more catalyst monolayers.

30

80. The method of claim 78, wherein the modifying includes forming one or more ordered electron-reflective structures on a surface exposed to the adsorbate reactants.

35

81. The method of claim 78, wherein the modifying includes forming one or more ordered hole-reflective

structures on a surface exposed to the adsorbate reactants.

82. The method of claim 78, wherein the modifying
5 includes forming one or more hot electron Fabry-Perot modes of a thin-film electron interferometer.

83. The method of claim 78, wherein the modifying
includes forming one or more catalyst monolayers.
10

84. The method of claim 78, wherein the modifying
includes forming one to one hundred catalyst monolayers.

85. The method of claim 78, wherein the modifying
15 includes forming one or more integer catalyst monolayers.

86. The method of claim 78, wherein the modifying
includes forming one or more electron interferometer
20 structures to cause a plurality of electron path reflections.

87. The method of claim 72, wherein the method
further includes adding one or more expendable additives
25 to the adsorbate reactants.

88. The method of claim 87, wherein the expendable
additives include one or more catalyst materials.

89. The method of claim 87, wherein the expendable
30 additives include one or more reaction accelerator-decelerator materials.

90. The method of claim 72, wherein the one or
35 more adsorbate reactants have a partial pressure such that no more than one monolayer for each of the one or more adsorbate reactants is formed.

91. The method of claim 90, wherein the partial pressure is less than ten atmospheres.

92. The method of claim 72, wherein at least one
5 of the adsorbate reactants is gaseous.

93. The method of claim 72, wherein the one or more adsorbate reactants include one or more decelerator materials.
10

94. The method of claim 72, wherein the one or more adsorbate reactants include one or more accelerator materials.

95. The method of claim 72, wherein the one or more adsorbate reactants include one or more hydrocarbon chains.
15

96. The method of claim 72, further including:
20 cooling the diode with a heat of vaporization of the one or more adsorbate reactants.

97. The method of claim 72, further including:
cleaning a catalyst by a reaction of the one or
25 more adsorbate reactants.

98. A reversible solid state surface catalysis excitation transfer reaction apparatus comprising:
a catalytic collector; and
30 an excitation emitter whose excitation band structure is coupled to excitation band structure associated with the catalytic collector,
wherein one or more energies associated with reactions of adsorbates occurring in the catalytic
35 collector are converted into excitations and transported to the excitation emitter where emitter excitations are generated and converted into one or more forms of energy, and

wherein an energy applied to the excitation emitter creates the emitter excitations, the emitter excitations transported to adsorbates in the catalytic collector where the transported emitter excitations energize the
5 excitations, the excitations causing reaction stimulation in the catalytic collector.

99. The apparatus as claimed in claim 98, wherein the energy applied to the excitation emitter is pulsed
10 electric power.

100. The apparatus as claimed in claim 98, wherein the reaction stimulation generates hot carriers.

15 101. The apparatus as claimed in claim 100, wherein the hot carriers further stimulate additional reactions.

102. A method of stimulating reactions,
20 comprising:

creating hot carriers in an excitation emitter, the excitation emitter in contact with a catalytic collector, by applying power to a diode in the excitation emitter;

25 transporting the hot carriers originating in the diode into the catalytic collector having catalyst material;

manipulating thickness properties of the catalyst material such that the hot carriers remain hot while
30 they are transported to a surface of the catalytic collector, the surface being exposed to reactants.

103. The method of claim 102, wherein an electrical power pulse is applied to forward bias the
35 diode.

104. The method of claim 102, wherein the power applied includes electrical power pulse with duration

shorter than a lifetime of a longest lived excited state of the hot carriers.

105. The method of claim 102, wherein the power
5 applied includes electrical power pulse with duration shorter than a lifetime of polariton states.

106. The method of claim 102, wherein the power
10 applied includes electrical power pulse with duration less than 1 nanosecond.

107. The method of claim 102, wherein the power
15 applied includes electrical power pulse with duty cycle less than 1/2.

108. The method of claim 102, wherein the power
20 applied includes electrical power pulse with repetition times comparable to or less than an average time during which gaseous reactants can replenish a surface of depleted reactants.

109. The method of claim 102, wherein the power
25 applied includes electrical power pulse with repetition rate higher than 50 megahertz.

110. The method of claim 102, wherein the
30 reactants have a partial pressure such that not more than one monolayer forms for each of the reactants on a surface of the catalyst material.

111. The method of claim 102, wherein at least one of the reactants is gaseous.

112. The method of claim 102, wherein the method
35 further includes:

modifying one or more electron density of the catalytic collector to a selected range of energy

transitions of one or more excitation band structures of an adsorbate-catalyst system having the reactants.

113. The method of claim 112, wherein the
5 modifying includes forming one or more catalyst monolayers.

114. The method of claim 112, wherein the
10 modifying includes forming one or more ordered electron-reflective structures on the surface exposed to the reactants.

115. The method of claim 112, wherein the
15 modifying includes forming one or more ordered hole-reflective structures on the surface exposed to the reactants.

116. The method of claim 112, wherein the
20 modifying includes forming one or more hot electron Fabry-Perot modes of a thin-film electron interferometer.

117. The method of claim 112, wherein the
25 modifying includes forming one or more catalyst metal monolayers.

118. The method of claim 112, wherein the
30 modifying includes forming one to one hundred catalyst metal monolayers.

119. The method of claim 112, wherein the
modifying includes forming one or more integer catalyst metal monolayers.

120. The method of claim 112, wherein the
35 modifying includes forming one or more electron interferometer structures to cause a plurality of electron path reflections.

121. The method of claim 102, wherein the method further includes adding one or more expendable additives to the reactants.

5

122. The method of claim 121, wherein the expendable additives include one or more catalyst materials.

10

123. The method of claim 121, wherein the expendable additives include one or more reaction accelerator materials.

15

124. The method of claim 121, wherein the expendable additives include one or more reaction decelerator materials.

20

125. The method of claim 102, wherein the reactants include hydrocarbon chains.

126. The method of claim 102, wherein the reactants deposit one or more compounds on the catalytic collector.

25

127. The method of claim 102, wherein the reactants include one or more compounds that form the catalytic collector.

30

128. The method of claim 102, wherein reaction stimulated by the hot carriers cause a surface explosion.

35

129. The method of claim 102, wherein reaction stimulated by the hot carriers cause an autocatalyzed chain reaction.

130. The method of claim 102, further including cooling the diode with heat of vaporization of the reactants.

5 131. A solid state surface catalysis excitation transfer reaction apparatus comprising:
a catalytic collector; and
an excitation emitter whose excitation band structure is coupled to excitation band structure
10 associated with the catalytic collector,
wherein an energy applied to the excitation emitter creates one or more emitter excitations, the emitter excitations transported to reactants in the catalytic collector where the transported emitter excitations
15 energize excitations in the catalytic collector, the excitations stimulating reactions.

132. The apparatus as claimed in claim 140,
wherein the emitter excitations include minority
20 carriers.

133. The apparatus as claimed in claim 140,
wherein the emitter excitations include hot carriers.

25 134. A solid state surface catalysis excitation transfer reaction apparatus comprising:
a catalytic collector; and
an excitation emitter whose excitation band structure is coupled to excitation band structure
30 associated with the catalytic collector,
wherein one or more pulses of excitation associated with reactions of adsorbates occurring in the catalytic collector are converted into one or more second excitations and transported to the excitation emitter
35 where emitter excitations are generated and converted into one or more forms of energy.

135. The apparatus of claim 143, wherein the reactants include gaseous reactants.

136. The apparatus of claim 143, wherein the
5 pulses of excitation include excited reactant molecular vibrations.

137. The apparatus of claim 143, wherein the reactions of adsorbates occur on a surface of the
10 catalytic collector.

138. The apparatus of claim 143, wherein the reactions of adsorbates occur with a surface of the catalytic collector.
15

139. The apparatus of claim 143, wherein the emitter excitations include hot carriers.

140. The apparatus of claim 143, wherein the
20 emitter excitations include minority carriers.

141. The apparatus of claim 149, wherein the one or more second excitations include hot carriers.

25 142. The apparatus as claimed in claim 1, wherein the emitter excitations include energies transported by resonant tunneling.

143. The apparatus as claimed in claim 1, wherein
30 the catalytic collector further includes a catalyst, a material of the catalyst and an electrode of the p-n junction diode being one and the same.

144. The method of claim 70, wherein a hot carrier
35 is an electron and the excitation band of the emitter is its conduction band.

145. The method of claim 70, wherein a hot carrier is a hole and the excitation band of the emitter is its valence band.

5 146. The method of claim 72, wherein the method further includes:

 modifying one or more electron density of states of a material in a catalytic collector to match a selected excitation band structure of an adsorbate-catalyst
10 system having the adsorbate reactants.

 147. The method of claim 78, wherein the modifying includes forming one or more electron interferometer structures to cause a plurality of hole reflections.
15

 148. A method of stimulating reactions, comprising:

 applying power to a diode in an excitation emitter, the excitation emitter being in contact with a catalytic
20 collector;

 creating hot carriers in the excitation emitter;
 coupling excitation energy of the hot carriers to an excitation band structure of the catalytic collector by using resonant tunneling;

25 constraining the thickness properties of a coupling material of the catalytic collector such that the resonant tunneling of the excitation energy experiences an energy transfer rate between excitation emitter and catalytic collector not less than 3% of the competing,
30 energy loss rates.

 149. The method of claim 157, wherein the constraining the thickness properties includes constraining the thickness to less than 200 nanometre
35 (nm) for a conducting coupling material of the catalytic collector.

150. The method of claim 157, wherein the
constraining the thickness properties includes
constraining the thickness to less than 100,000
nanometre (nm) for a non-conducting coupling material of
5 the catalytic collector.

AMENDED CLAIMS

(received by the International Bureau on 23 March 2001 (23.03.01);
original claims 130-150 replaced by new claims 130-150;
remaining claims unchanged (4 pages))

130. The method of claim 102, further including cooling the diode with heat of vaporization of the reactants.

5 131. A solid state surface catalysis excitation transfer reaction apparatus comprising:
 a catalytic collector; and
 an excitation emitter whose excitation band structure is coupled to excitation band structure
10 associated with the catalytic collector,
 wherein an energy applied to the excitation emitter creates one or more emitter excitations, the emitter excitations transported to reactants in the catalytic collector where the transported emitter excitations
15 energize excitations in the catalytic collector, the excitations stimulating reactions.

132. The apparatus as claimed in claim 131, wherein the emitter excitations include minority
20 carriers.

133. The apparatus as claimed in claim 131, wherein the emitter excitations include hot carriers.

25 134. A solid state surface catalysis excitation transfer reaction apparatus comprising:
 a catalytic collector; and
 an excitation emitter whose excitation band structure is coupled to excitation band structure
30 associated with the catalytic collector,
 wherein one or more pulses of excitation associated with reactions of adsorbates occurring in the catalytic collector are converted into one or more second excitations and transported to the excitation emitter
35 where emitter excitations are generated and converted into one or more forms of energy.

135. The apparatus of claim 134, wherein the reactants include gaseous reactants.

136. The apparatus of claim 134, wherein the
5 pulses of excitation include excited reactant molecular vibrations.

137. The apparatus of claim 134, wherein the reactions of adsorbates occur on a surface of the
10 catalytic collector.

138. The apparatus of claim 134, wherein the reactions of adsorbates occur with a surface of the catalytic collector.
15

139. The apparatus of claim 134, wherein the emitter excitations include hot carriers.

140. The apparatus of claim 134, wherein the
20 emitter excitations include minority carriers.

141. The apparatus of claim 140, wherein the one or more second excitations include hot carriers.

25 142. The apparatus as claimed in claim 1, wherein the emitter excitations include energies transported by resonant tunneling.

143. The apparatus as claimed in claim 1, wherein
30 the catalytic collector further includes a catalyst, a material of the catalyst and an electrode of the p-n junction diode being one and the same.

144. The method of claim 70, wherein a hot carrier is an electron and the excitation band of the emitter is its conduction band.

5 145. The method of claim 70, wherein a hot carrier is a hole and the excitation band of the emitter is its valence band.

146. The method of claim 72, wherein the method
10 further includes:

modifying one or more electron density of states of a material in a catalytic collector to match a selected excitation band structure of an adsorbate-catalyst system having the adsorbate reactants.

15 147. The method of claim 78, wherein the modifying includes forming one or more electron interferometer structures to cause a plurality of hole reflections.

20 148. A method of stimulating reactions, comprising:

applying power to a diode in an excitation emitter, the excitation emitter being in contact with a catalytic collector;

25 creating hot carriers in the excitation emitter;

coupling excitation energy of the hot carriers to
an excitation band structure of the catalytic collector
by using resonant tunneling;

constraining the thickness properties of a coupling
5 material of the catalytic collector such that the
resonant tunneling of the excitation energy experiences
an energy transfer rate between excitation emitter and
catalytic collector not less than 3% of the competing,
energy loss rates.

10

149. The method of claim 148, wherein the
constraining the thickness properties includes
constraining the thickness to less than 200 nanometre
(nm) for a conducting coupling material of the catalytic
15 collector.

150. The method of claim 148, wherein the
constraining the thickness properties includes
constraining the thickness to less than 100,000
20 nanometre (nm) for a non-conducting coupling material of
the catalytic collector.

1/4

FIG. 1

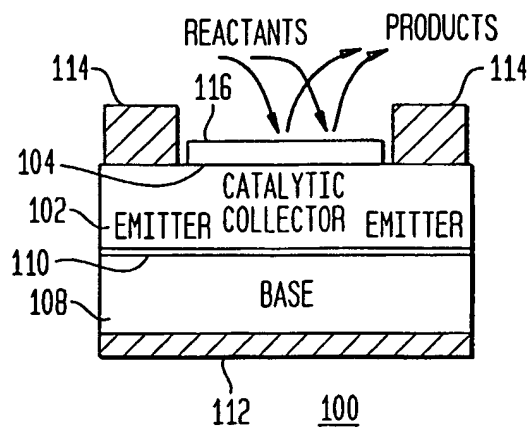
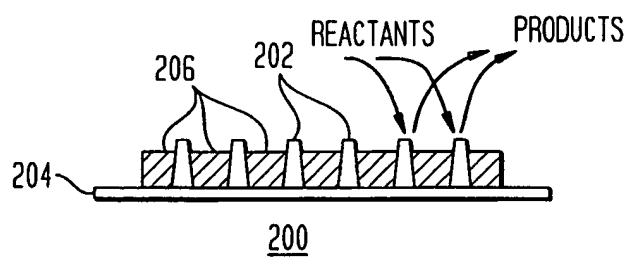


FIG. 2



2/4

FIG. 3

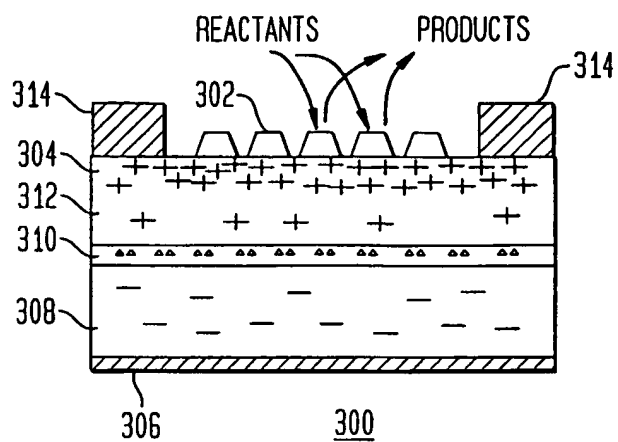
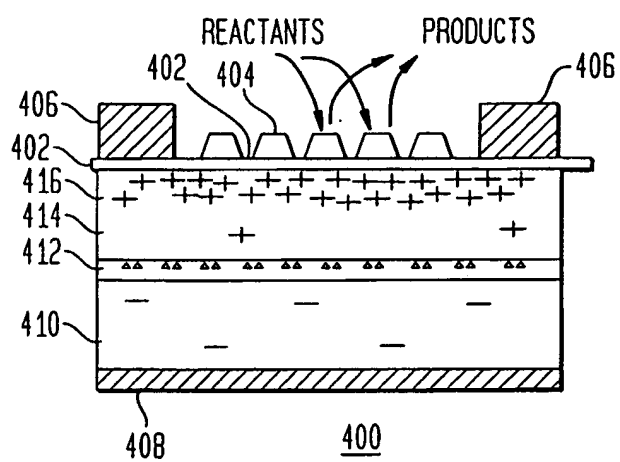


FIG. 4



3/4

FIG. 5

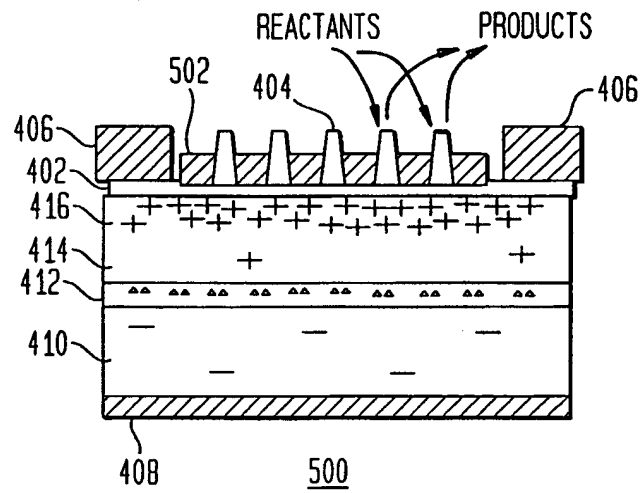


FIG. 6

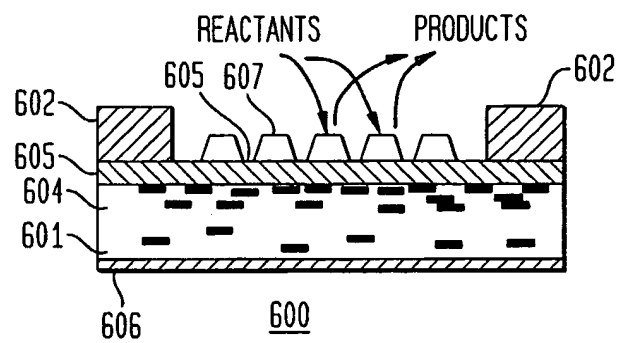
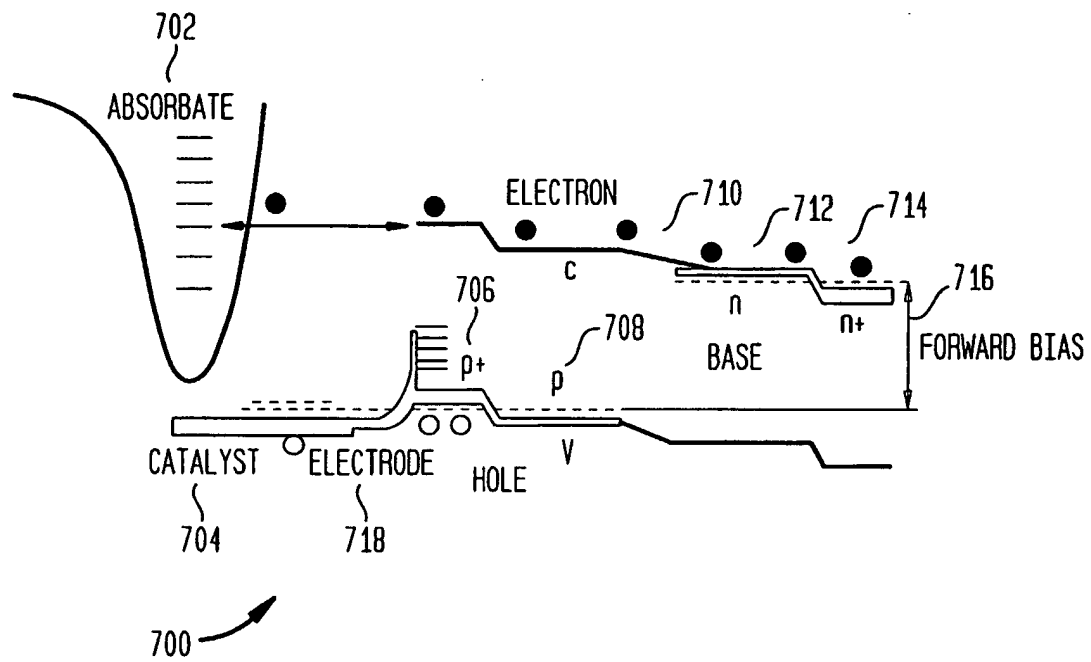


FIG. 7



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US00/28801

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :B01J 19/08

US CL :422/186, 186.04; 204/164; 136/253, 254

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 422/186, 186.04; 204/164; 136/253, 254

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WEST

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A,P	US 6,114,620 A (ZUPPERO et al.) 05 September 2000.	1-159



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

16 JANUARY 2001

Date of mailing of the international search report

06 FEB 2001

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

KISHOR MAYEKAR

Telephone No. (703) 308-0661

DEBORAH THOMAS
PARALEGAL SPECIALIST

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.